

VAIBHAV STOCK & DERIVATIVES BROKING PVT. LTD.

Corporate Member: National Stock Exchange of India Ltd. (NSE)

Corporate Office: 301-308, 3rd Floor, Bhagwati House, A/19, Veera Desai Road, Andheri (West), Mumbai - 400 058
Tel.: 91-22-26732602/03/04/5570 4167/68/69/70/71 Fax: 91-22-26732642

Policy for Inactive Clients

Client account other than that of family members of the directors or associate group companies will be considered as inactive if the client does not trade for period of 6 months. Calculation will be done at the beginning of every month and those clients who have not traded even a single time will be considered as inactive, the shares/ credit ledger balance if any will be transferred to the client on his/her request or as per the running accounts authorization. In case of Debit balance, we reserve the right to recover such dues by selling the securities or initiating legal proceedings or any other action as it may deem fit. The client has to make written request for reactivation of their account.

A copy of the active client list has to be forwarded to dealers who operate NEAT/BOLT terminals. If any orders are received from the inactive client, the dealer shall take reasonable steps to identify the client and to ensure that the orders are received from the same client. In case of a doubt the matter shall be referred to the Management or Compliance Officer or Introducer.

Dormant/Inactive Client has to update their KYC details at the time of fresh order, if required.

Sd/-
Director
Vaibhav Stock & Derivatives Broking Pvt. Ltd.

